| CONFERENCE PROGRAMME & SPEAKERS |

DSW/BETTER FINANCE International Investors' Conference 'The Green Deal vs. Geopolitics'

6 of December 2022 in Frankfurt am Main / Germany



Deutsche Schutzvereinigung für Wertpapierbesitz e.V.





FRANKFURT | 6 DECEMBER 2022

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Co-funded by the European Union

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Location | Literaturhaus, Schöne Aussicht 2, 60311 Frankfurt am Main

DSW / BETTER FINANCE International Investors' Conference "The Green Deal vs. Geopolitics"

09h00	Registration
09h30	Opening speech Verena Ross, ESMA
10h00	CEO/CFO Talk: "How can companies succeed in the ESG jungle?"
11h10	Coffee break
11h40	Keynote speech Christoph Regierer, Mazars
11h50	Panel "Sustainable Finance and the future role of investors"
12h50	Lunch
14h00	Panel "Do we need a Say on Climate for Shareholders?"
15h00	Panel "Sustainability in the new World Order"
15h50	Coffee Break
16h20	Keynote Speech & Panel "The CMU in light of the new geopolitical reality"
17h30	Keynote Speech & Panel "Is Company Culture a success factor for Sustainability? "
18h30	Closing remarks
18h40	Reception & Dinner











SPEAKERS & PANELLISTS

Bannier, Christina | Before becoming Professor of Banking and Finance at the Justus-Liebig-University in Gießen, Christina Bannier held the Chair of Corporate Finance at the Gutenberg-University in Mainz. Prior to that, she was Head of the Finance Department at the Frankfurt School of Finance & Management, where she also held the Commerzbank Endowed Chair of SME Finance. Christina Bannier habilitated at the Goethe University Frankfurt (2006). Stays abroad led her to the London School of Economics and San Diego State University, among others. Her current research interests lie in the areas of sustainability, compliance, corporate governance and financial education. Her research has been published in the Review of Finance, Journal of Monetary Economics and Journal of Banking & Finance.

Benner-Heinacher, Jella | Jella Benner-Heinacher is an attorney-at-law with a Master of Law Degree from the United States. She joined the leading German Shareholder Association DSW (Düsseldorf) in 1991 and is currently its Chief Managing Director (Deputy). Since June 2022 she is President of BETTER FINANCE (Brussels), the European Federation of Investors and Financial Services Users. Ms Benner-Heinacher is currently Board Member at K + S, an M-DAX company, Member of German Stock Exchange Expert Committee (Berlin), Member of the Consumer Panel at BaFin (German Financial Markets Supervisory Authority), Member of the Corporate Finance Standing Committee (CWG) at ESMA (Paris), Member of the Advisory Committee at LEVEL EEI, Brussels and Observer on the Board of EFRAG (Brussels).

Dejmek-Hack, Paulina | Dr Paulina Dejmek-Hack is Director for General Affairs at the European Commission's DG FISMA. Paulina has worked on financial services matters in the European Commission in different positions since she joined in 2004, including in the private office of then Commissioner Michel Barnier, subsequently Vice-President of the European Commission, between 2010 and 2014 and as Financial Adviser in the private office of the then European Commission President, Jean-Claude Juncker, between 2014 and 2019. In 2019/20, Paulina was Director of the Commission's Task Force for relations with the United Kingdom and was directly involved in the negotiations between the European Union and the United Kingdom.



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Dessaint, Loïc | Loïc Dessaint is Chief Governance Officer of Proxinvest, the independent European proxy firm. Graduated from l'Institut d'Etudes Politiques de Lille ("Science Po Lille", Division Economics and Finance) and from a Master of International Financial Analyst. After a first experience in audit, Loïc joined Proxinvest in 2001 as financial analyst in charge of corporate governance rating. In 2005 he became Associate responsible for Corporate Governance and Proxy Voting Research, client voting guidelines, management of the international partnership ECGS. He was nominated Proxinvest Chief Executive Officer in June 2014. In June 2021 he became Chief Governance Officer and is responsible for the European proxy research, Engagement support services and ESG.

Duiker, Jacqueline | Drs Jacqueline Duiker RC is Senior Manager at VBDO and Founder of Future Value Matters.

Jacqueline has over 15 years of experience at the forefront of sustainability in business and finance. Before that she held different positions at ING Group. Jacqueline challenges, inspires and supports senior executives and supervisory boards in multinational businesses and the financial sector to embed sustainability in the core of the organization. Jacqueline is a financial economist.



Eller, Elke | Dr Elke Eller has been a member of the Executive Board as the TUI Group's HR and Labour Director since 15 October 2015. Prior to joining TUI AG, Dr Eller held various positions at Volkswagen, being part of the Executive Board at Volkswagen Financial Services AG from 2007 onwards. In 2012 she was appointed to the Management Board of Volkswagen Commercial Vehicles with responsibility for Human Resources. Before moving to industry, Dr Eller was responsible for various management functions at the German Public Services and Transport Union (OETV), the National Executive of the German Metalworkers' Union (IGM), the Otto Brenner Foundation and the Hans Boeckler Foundation. During that period, she was a member of various Supervisory Boards, including the Supervisory Boards of ThyssenKrupp and Volkswagen. Dr Eller studied economics and business management at Johann Wolfgang Goethe-Universitaet Frankfurt am Main, Germany, from 1982 to 1987, graduating as an economist. In 2009 she started a postgraduate programme at Paderborn University and was awarded a doctorate in political science in 2013.







Ferrari, Gianluca | Gianluca Ferrari is the Founder and CEO of Clearway Capital, an investment firm focused on impact investing in public markets. Clearway adopts an opportunistic, value-oriented strategy and uses shareholder engagement to drive returns and positive societal impact. Prior to founding Clearway, Gianluca led the activist investments for a prominent European focused fund and currently sits on the boards of Retelit SpA, a Clearway portfolio company, and Be Shaping the Future SpA, an IT Consulting firm listed on the Milan stock exchange.







Hölz, Christiane | Christiane is Managing Director of the Deutsche Schutzvereinigung für Wertpapierbesitz e.V. (DSW), the leading German investor association with more than 30.000 individual investors as members. She has an extended and international experience in securities law, sustainability and corporate governance, including shareholder voting processes. Christiane is also Vice Chair of ESMAs Securities and Markets Stakeholder Group (SMSG) and member of EFRAGs Sustainability Reporting Expert Working Group on Governance+ as well as former Vice Chair of the EU Commission's Financial Services User Group (FSUG). She furthermore was member of the EU Commission's expert group on technical aspects of corporate governance. Christiane is an attorney having studied in Germany and in France.

Huber-Strasser, Angelika | Angelika Huber-Strasser is the Managing Partner for the Southern Region at KPMG in Germany. She is a qualified Chartered Accountant, Tax Advisor, and an MBA graduate of the Bradford School of Management. Angelika has over 25 years of experience in managing a variety of financial audit engagements for large international groups and is Lead Partner on several global clients, mostly listed companies in the automotive, manufacturing and service industry. She is involved in coordinating large audit teams in Germany and around the globe. Furthermore, she is leading the Audit Committee Institute. (ACI).

Kaufmann, Vincent | Vincent Kaufmann has been the CEO of Ethos Foundation and of Ethos Services since June 2015. Vincent Kaufmann joined Ethos in 2004 as a Corporate Governance Analyst, later becoming a Senior and Deputy Head Corporate Governance. He has been member of Ethos Services' management in charge of investment since 2011 and deputy CEO since 2013. Since June 2019, of the board of Swiss Sustainable Finance. Vincent Kaufmann, a Swiss certified Expert for Accounting and Controlling since 2009, holds a master's degree in Business Economics of the University of Geneva (2004).











Klebert, Stefan | Since February 2019 Stefan Klebert has been the Chairman of the Executive Board of which he has been a member since November 2018. In his capacity as a member of the Executive Board, he is responsible for all five Divisions as well as the Regions & Countries organizations. Furthermore, he fills the role of Labour Relations Director. In addition to Human Resources, he also oversees Sustainability, Global Technology, Corporate Communication, Marketing & Branding and Corporate Legal, Compliance & Insurance. Before joining the company, Stefan Klebert was at the helm of Schuler AG, holding the reins of the international industrial group for a period of eight years as CEO. At the start of his career, he worked in the automation technology division of Festo in the field of sales and marketing. In addition, he has filled senior management positions in various stock-listed companies, including the role of managing director in the elevator industry at Schindler and that of divisional board member at Thyssenkrupp. Stefan Klebert, born in Stuttgart, Germany, in June 1965, is a fully trained mechanic, studied mechanical engineering at the Esslingen University of Applied Sciences, Germany, and holds a master's degree in Business Administration (MBA) from Brunel University, London, UK.

Kopp, Mathias | Matthias serves as director sustainable finance and heads up the sustainable finance program in WWF Germany. He holds a degree in industrial engineering and management (Berlin/ London) and worked with PwC Management Consulting and IBM Business consulting services prior to joining WWF. In his role with WWF, he built up the workstream on sustainable financial systems to address the leveraging and enabling potential of the finance system in transforming our economies to stay within planetary boundaries and well below 2 degrees climate chaos. The program addresses national, European and international as well as methodological, policy, regulation and product level aspects. Matthias is a member to the international finance practice leadership team of the WWF Network. He serves as a member to the advisory group in sustainable finance for the federal government, to the Global Challenges Index (Hannover stock exchange) and others.

Kreis, Melanie | Melanie Kreis is a physicist by training and holds an MBA from INSEAD. She began her career in 1997 as a consultant at McKinsey. In 2000 she moved to private equity firm Apax Partners. In 2004 she joined Deutsche Post DHL Group, where she initially worked in the Corporate Development department in Bonn and later in the UK, leading the Exel integration. In October 2006 she assumed the position of Executive Vice President (EVP) Corporate Office. In 2009 she took over as EVP Corporate Controlling and in April 2013 as CFO of DHL Express. On November 1st, 2014, Kreis was promoted to the Board of Management of Deutsche Post DHL Group, as the Board Member for Human Resources and Labour Director. Since October 1st, 2016, she has been the Chief Financial Officer of Deutsche Post DHL Group.











Lohr, Burkhard | Dr Burkhard Lohr was born in Essen (North Rhine-Westphalia) in 1963. After studying Business Administration at the University of Cologne, he joined Mannesmann AG in 1991. From 1993 he held various positions at Hochtief AG, Essen, including member of the Executive Board of the Munich branch and CFO of Hochtief Construction AG, Essen. In 2001, Burkhard Lohr received his doctorate from the Technical University of Braunschweig. Since 2006, as CFO of Hochtief AG, he was responsible for Finance, Investor Relations, Accounting, Controlling and Taxes. In 2008, he also assumed the position of Labour Relations Director. Since 2022, he is Member of the Board of Executive Directors of the International Fertilizer Association (IFA). In 2012, he was appointed to the Board of Executive Directors of K+S Aktiengesellschaft, where he became Chairman on May 12, 2017.

Merbeth, Wiebke | Wiebke Merbeth is a constituent member of the Federal Government's Sustainable Finance Advisory Council. The advisory board, which was launched on 6 June 2019, advises the Federal Government on its path to becoming a leading location for sustainable finance. Wiebke is convinced that "Engagement and Voting are the key driver for responsible investing. As sustainability risks are business risks and have a direct impact on the financial performance of companies, there is no way around recording sustainability risks, internalizing them into risk management and implementing transparent reporting." Wiebke Merbeth is a partner at Deloitte, strategy consultancy. As an expert in sustainable finance, Merbeth helps to shape the sustainable transformation in the best possible way. She has over 15 years of experience in the financial industry, with positions at the asset managers of HSBC and BayernLB.

Prache, Guillaume | Guillaume Prache is the Managing Director of BETTER FINANCE, the European Federation of Investors and Financial Services Users. He is also one of the experts representing financial services users before the European Commission (as former member and vice chair of the Financial Services User Group – FSUG), before the European Securities & Markets Authority (as member and former chair of the ESMA Securities & Markets Stakeholder Group), before the European Insurance and Occupational Pensions Authority (as member of the EIOPA Insurance and Reinsurance Stakeholder Group) and before the French financial regulator AMF. He started as a magistrate at the French Court of Auditors and has an extended and international experience in financial matters, as Chief Financial Officer of US-based Rhône-Poulenc Rorer, Inc., a "Fortune 500" publicly listed pharmaceutical company (today Sanofi) from 1997 to 2000, and as Managing Director of the European subsidiary of the Vanguard Group, Inc., a global leader in asset management, from 2000 to 2006. He has taught asset management for the CIWM (Certified International Wealth Manager) program and wrote two books (and various articles on economics and finance).









Regierer, Christoph | Dr Christoph Regierer is an auditor, tax adviser, and lawyer, and has been a partner at Mazars since 1999. In 2015, he was elected to the Executive Board of Mazars Germany and the Group Executive Board (GEB) of Mazars Global. He received his doctorate in 1998 at the Christian Albrechts University in Kiel at the chair of Erich Samson on the subject of accounting offences. Christoph Regierer serves in an honorary capacity at the Institute of Chartered Accountants (IDW) and the working group of the German Supervisory Board AdAR. e. V. He is also a lecturer at the Free University of Berlin in the subjects of finance, accounting, and taxes. His outside activities include serving as treasurer of the Humboldt Universitäts-Gesellschaft (HUG), the Förderverein der Humboldt Universität Berlin (university support association), and the Institute of Electronic Business (IEB).

Riess, Rainer | Rainer Riess is Director General of the Federation of European Securities Exchanges (FESE) and an independent advisor to exchanges and financial services companies with more than 30 years of experience in the global exchange industry. He is also Vice Chair of the Securities and Markets Stakeholder Group (SMSG) which provides ESMA with opinions and advice on its policy work. For many years, he served as Vice Chairman of the Board of the Frankfurt Stock Exchange and was responsible for the cash market business of Deutsche Börse AG. During his career at Deutsche Börse, he created and managed markets, drove changes in European corporate governance and accounting practice, financial market regulation and supervision of market player. He is a Member of the Board of Trustees of the English Theatre Frankfurt and several charitable organisations. He holds a master's in economics from Johann Wolfgang Goethe University in Frankfurt and an MBA from the University of Miami (Fulbright Scholar).



Ross, Verena | Verena Ross is the Chair of the European Securities and Markets Authority (ESMA). She took up the role on 1 November 2021 and is responsible for representing the Authority as well as preparing the work of and chairing its Board of Supervisors and Management Board. Between 2011 and 2021 Verena Ross served as ESMA's first Executive Director, when she was responsible for building up the organisation and overseeing its day to day running. Prior to this, Verena held a number of senior posts in the UK's Financial Services Authority (FSA) and was a member of the FSA's Executive Committee. Verena joined the FSA in 1998 to run the Executive Chairman's office during the regulator's start-up phase and was briefly a seconded advisor to the Hong Kong Securities and Futures Commission in 2000. She held various positions in the FSA's Markets Division and was Director of Strategy & Risk Division before becoming Director of the International Division. Verena is a German national. Following studies in Sinology and Economics in Hamburg, Taipei and London (SOAS) she began her career at the Bank of England in 1994, where she worked as an economist and banking supervisor.









Rotsch, Friederike | Friederike Rotsch has been Group General Counsel of Merck since 2014 and is responsible for legal affairs as well as compliance, data protection, trademarks and non-technical property rights. Previously, she was Head of Internal Auditing and held various positions in the legal department of Merck. She studied and earned her doctorate at the University of Passau and the University of Wales/College of Cardiff. Before joining Merck, she worked for an international law firm in Frankfurt/Main and Düsseldorf.

She is also Chairwoman of the Legal Committee of the Federation of German Industries (BDI) and Deputy Chairwoman of the Legal Committee of the German Chemical Industry Association (VCI). She is a member of the sponsorship committee of the Business and Law Working Group of the Donors' Association for the Promotion of Sciences and Humanities in Germany (Stifterverband für die Deutsche Wissenschaft) and of the executive committee of the German Association of Corporate Lawyers (Bundesverband der Unternehmensjuristen). She is also a member of the European General Counsel Association (EGCA).

Rüdiger, Michael Martin | Michael (born in 1964) started his professional career in 1983 as a bank trainee. He went on to study at Justus Liebig University in Giessen, Germany and graduated in Business Studies. Michael joined 1989 the trainee programme of Hoffmann-La Roche, Basel, Switzerland. Following numerous activities in banking and finance Michael had been CEO of DekaBank Deutsche Girozentrale and a member of the Board of Management of the German Savings Banks Association, Berlin from November 2012 to December 2019. Since January 2020 Michael works as an independent advisor. He is a member of the Supervisory Board (and Chairman of the Audit Committee) of Evonik Industries and a member of the Supervisory Board of the German Cancer Aid Foundation. In May 2020 he was elected member of the Supervisory Board of Deutsche Börse, in July 2020 he was appointed Chairman BlackRock Germany, Austria & Eastern Europe (and Chairman of the Supervisory Board of BlackRock Asset Management Deutschland AG, Munich).

Sapiro, Ulrike | Ulrike Sapiro is Chief Sustainability Officer at Henkel AG & Co. KGaA, an internationally operating DAX-40 company based in Duesseldorf, Germany, and active in consumer products for laundry and personal care as well as industrial technologies with high-tech adhesives and sealants. In her role, Ulrike reports to the board and - together with her team - develops and oversees corporate sustainability strategy, governance, reporting and disclosure as well as external and internal engagement. She is working closely across the business on implementation and ESG data management program. Prior to joining Henkel, Ulrike spent 13 years in several sustainability leadership roles at The Coca-Cola Company on global and European level. Before joining Coca-Cola, she held sustainability and external affairs roles at RWE Thames Water, a UK-based private water and wastewater company. Ulrike holds a master's degree in political science from the University of Munich. In 2022, she was recognized as one of the Global Top 100 Sustainability Leaders.

| The Green Deal vs. Geopolitics |







Speich, Ingo | Ingo Speich was appointed Head of the Sustainability and Corporate Governance department at Deka Investment in April 2019. Prior to joining Deka, Ingo was a Senior Portfolio Manager at Union Investment from 2004, most recently as Head of the ESG Capital Markets & Stewardship team. After an apprenticeship at Dresdner Bank, Ingo studied business administration at the University of Trier and Boston University. Ingo is a CFA Charter holder and completed the Executive MBA Program at the European Business School Oestrich-Winkel and Durham University. Ingo Speich is a board member of the Deutsche Vereinigung für Finanzanalyse und Asset Management (DFVA) and lecturer at the EBS Business School/Finance Academy on the subject of "Sustainable Investments". Ingo is a member of the BVI's "Corporate Governance Working Group" and the Schmalenbach-Gesellschaft's "Corporate Governance Reporting" and "Sustainable Finance" Working Group. He was a member of the advisory board "Sustainable Finance" of the German Federal Government and the consultative working group "Consumer Protection and Financial Intermediaries" of the EU supervisory authority ESMA/Paris.

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Schmid, Daniel | As SAP's Chief Sustainability Officer, Daniel Schmid is globally responsible for sustainability at SAP SE since 2014.

Daniel Schmid started his career in CRM consulting and held various senior management positions within SAP Consulting before joining the sustainability leadership team established in 2009 to start SAP's sustainability journey and lead the Sustainability Operations team. He is Chairman of the Board of econsense, the sustainability network of German business. Schmid holds a degree in industrial engineering from the University of Kaiserslautern, Germany.



Stickler, Claus | Claus Stickler is Managing Director and Global Co-Lead of Allianz Investment Management (AIM) since January 2017, where he previously served as Global Chief Operating Officer. With a portfolio of around 850 bn EUR (31.12.21), AIM is responsible for the investment of all insurance portfolios within Allianz Group. Since 1999 and until 2008 Claus Stickler held various senior management positions at Allianz Asset Management and Allianz Global Investors (asset management companies of Allianz Group). From 1993 to 1998, Claus Stickler worked for McKinsey & Company, most recently as an engagement manager. He holds a master's degree and a PhD in economics. Claus Stickler is member of various sustainability oriented working groups, e.g., of the Sustainable Finance Advisory Board of the German Federal Government, the EU High Level Expert Group on scaling up sustainable finance in low- and mediumincome countries, the strategy team of the UN Initiative Global Investors for Sustainable Development (GISD) and the advisory board of EPICO, a think tank focused on energy and climate policy and innovation.







Thomas, Ralf P. | Prof. Dr Ralf P. Thomas has been Chief Financial Officer and a member of the Managing Board of Siemens AG since 2013. He is also Chairman of the Supervisory Board of Siemens Healthineers AG and a member of the Supervisory Board of Siemens Energy AG. After studying economics and business administration, Ralf Thomas earned a doctorate in 1994 with a dissertation in the field of accounting-related tax law before beginning his career at Siemens AG. In 1999, he became Head of the Accounting, Controlling and Treasury Department at Siemens in South Africa. He was named CFO of Siemens Medical Solutions' radiology unit in 2002 and later took on responsibility for the Corporate Finance -Accounting, Controlling, Reporting & Taxes Department at Siemens AG. Thomas became CFO of the Industry Sector at Siemens AG in 2008. Most recently, in 2013, he was appointed to the Managing Board of Siemens AG. Since 2019, he has also been Chairman of the Stock Exchange Committee of Experts (Börsensachverständigenkommission), which advises Germany's Federal Ministry of Finance, and he has been an honorary professor for economics and business administration at Friedrich-Alexander-Universität Erlangen-Nürnberg (FAU) since 2018.





Thyblad, Tomas | Tomas is the Vice President and Head of ESG Solutions, European Markets for Nasdaq, where he is responsible for coordination of initiatives and the tactical and strategic work around offerings in the Environmental, Social, and Governance area. Tomas is also a board member of Sustainable Finance Lab – SWEDEN (a transdisciplinary research centre for capital market transformation). Tomas has previously held various positions within Nasdaq, such as Head of Treasury for the Non-US entities, Chief Risk Officer in Nasdaq Clearing, as well as Head of Financial Risks and Treasury Operation in EMEA / APAC. Before joining Nasdaq, worked within the Central Bank of Sweden (Sveriges Riksbank) in various positions, such as Head of Market Operation / Chief Dealer as well as Head of Market Operation and Reserve Management Division.

Tüngler, Marc | Born in 1968, Marc Tüngler is a lawyer and started to work for German Association for Protection of Security Holdings (Deutsche Schutzvereinigung für Wertpapierbesitz e.V., DSW) in 1999. For the German Association for the Protection of Security Holdings, Mr. Tüngler was managing director North Rhine-Westphalia until 2007, managing director Germany from 2007 until 2011 and has been appointed as Chief Managing Director in 2012. Mr. Tüngler is a member of the Government Commission on the German Corporate Governance Code (Regierungskommission Deutscher Corporate Governance Kodex), member of the board of Working Group German Supervisory Board (Arbeitskreis deutscher Aufsichtsrat e.V., AdAR) and the council of Düsseldorf Stock Exchange. Furthermore, he is chairman of the supervisory board of freenet AG and member of several other boards.







Ursch, McKenzie | McKenzie Ursch obtained a Bachelor of Laws from Tilburg University in 2017 and graduated cum laude with a Master of Laws from the Vrije Universiteit Amsterdam in 2022. He joined Follow This as Head of Legal in 2020, where he oversees legal operations at the Amsterdam-based shareholder advocacy organization, managing the drafting and filing of climate resolutions and international oil majors, defending these resolutions, engaging with investors, oil companies and partner organizations to advocate the Follow This message and build support to increase the vote at companies' AGMs.



von der Linden, Klaus | Dr Klaus von der Linden has also been appointed Partner. He has been with Linklaters since 2007 and advises primarily on stock corporation and corporate law. He specializes in advising management boards and supervisory boards, public general meetings, directors' and officers' liability, corporate litigation, corporate governance, public takeovers as well as capital, structural and transformation measures.



Winkeljohann, Norbert | Prof. Dr Norbert Winkeljohann has been Chairman of the Supervisory Board of Bayer AG since April 28, 2020. Winkeljohann has been a member of the Supervisory Board since May 2018. Norbert Winkeljohann studied business administration and economics at the Westfälische Wilhelms University in Münster in 1978, graduating with a degree in business administration. He then continued his academic education at the Department of Accounting, Taxes and Auditing of the University of Hagen, where he received his doctorate in economics. Since 1986, Winkeljohann initially worked as an audit assistant in the bank auditing section, then as a tax consultant in the area of international tax until 1992. In 1993 he worked as a tax and management consultant in London and joined the then auditing company PricewaterhouseCoopers AG as a partner in 1994, before being appointed to the Board of Management in 1999. From 2010 to June 2018, Winkeljohann was Chairman of the Management Board of PricewaterhouseCoopers GmbH, Chairman of the Board of Management of PricewaterhouseCoopers Europe SE and member of the Network Leadership Team of PricewaterhouseCoopers International Limited. Since July 2018 he has been working as an independent management consultant. Since 2010 Winkeljohann has been an honorary professor at the University of Osnabrück and since 2017 at the Goethe University Frankfurt.



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